

\_\_\_\_\_  
Name of Person receiving SMI Determination

\_\_\_\_\_  
Date of Birth

**AHCCCS MEDICAL POLICY MANUAL  
AMPM 320 – P  
Serious Mental Illness  
DETERMINATION**

I. Preliminary Serious Mental Illness Eligibility Determination Recommendation

Based upon my direct behavioral health assessment of this person, or my review thereof, I

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Credentials/Position

make the following preliminary serious mental illness eligibility recommendation:

1. **Preliminary Recommendation of Qualifying Serious Mental Illness Diagnosis** (circle or highlight the person's principal diagnosis(es) supported by available information)

Psychotic Disorders (**F20.0, F20.1, F20.2, F20.3, F20.5, F20.89, F20.9, F21, F22, F25.0, F25.1, F25.8, F25.9, F28, F29**); Bipolar Disorders (**F31.0, F31.10, F31.11, F31.12, F31.13, F31.2, F31.30, F31.31, F31.32, F31.4, F31.5, F31.60, F31.61, F31.62, F31.63, F31.64, F31.70, F31.71, F31.72, F31.73, F31.74, F31.75, F31.76, F31.77, F31.78, F31.81, F31.89, F31.9, F34.0** ); Obsessive-Compulsive Disorder (**F42.2, F42.8, F42.9**); Depressive Disorder (**F32.0, F32.1, F32.2, F32.3, F32.4, F32.5, F32.89, F32.9, F33.0, F33.1, F33.2, F33.3, F33.40, F33.41, F33.42, F33.9, F34.1**); Other Mood Disorders (**F39**); Anxiety Disorders (**F40.00, F40.01, F40.02, F41.0, F41.1, F41.8, F41.9**); Post Traumatic Stress Disorder (**F43.10, F43.11, F43.12**); Dissociative Disorder (**F44.81**) Abuse of non-psychoactive substances (**F55.8**) Personality Disorders (**F60.0, F60.1, F60.3, F60.4, F60.5, F60.6, F60.7, F60.81, F60.89**)

1(a) The above noted diagnosis(es) is/are suggested based upon the following signs and symptoms of the mental disorder(s): (Provide descriptions of both positive (confirming) finding and negative ("rule-out") findings for other diagnoses that were considered)

2. **Preliminary Recommendation of Functional Criteria:** As a result of the above diagnosis, the person exhibits any item listed under 2 (a), (b) and/or (c) for most of the past twelve months or for most of the past six months with an expected continued duration of at least six months:

- 2(a) **Inability to live in an independent or family setting w/o supervision (Self Care/Basic Needs)** - The person's capacity to live independently or in a family setting, including the capacity to provide or arrange for needs such as food, clothing, shelter and medical care.

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- Neglect or disruption of ability to attend to basic needs.
- Needs assistance in caring for self.
- Unable to care for self in safe or sanitary manner.
- Housing, food and clothing, must be provided or arranged for by others.
- Unable to attend to the majority of basic needs of hygiene, grooming, nutrition, medical and dental care.
- Unwilling to seek prenatal care or necessary medical/dental care for serious medical or dental conditions.
- Refuses treatment for life threatening illnesses because of behavioral health disorder.
  
- 2(b) A risk of serious harm to self or others (Social/Legal and/or Feeling/Affect/Mood) -**  
The extent and ease with which the person is able to maintain conduct within the limits prescribed by law, rules and social expectations, and/or the extent to which the person's emotional life is well modulated or out of control.
  
- Seriously disruptive to family and/or community.
- Pervasively or imminently dangerous to others' bodily safety.
- Regularly engages in assaultive behavior.
- Has been arrested, incarcerated, hospitalized or at risk of confinement because of dangerous behavior.
- Persistently neglectful or abusive towards others in the person's care.
- Severe disruption of daily life due to frequent thoughts of death, suicide, or self-harm, often with behavioral intent and/or plan.
- Affective disruption causes significant damage to the person's education, livelihood, career, or personal relationships.
  
- 2(c) Dysfunction in Role Performance -** Person's capacity to perform the present major role function in society school, work, parenting or other developmentally appropriate responsibility.
  
- Frequently disruptive or in trouble at work or at school.
- Frequently terminated from work or suspended/expelled from school.
- Major disruption of role functioning.
- Requires structured or supervised work or school setting.
- Performance significantly below expectation for cognitive/developmental level.
- Unable to work, attend school, or meet other developmentally appropriate responsibilities.

The above noted Functional Criteria ratings are suggested based upon the following information regarding this person's functioning: (Provide a description of both the positive (confirming) findings and negative ("rule-out") findings of the functioning of this person)

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3. **Risk of Deterioration:** The person does not currently meet any one of the above functional criteria 2(a) through 2(c) but may be expected to deteriorate to such a level without treatment.

A qualifying diagnosis with probable chronic, relapsing and remitting course.

Co-morbidities (like mental retardation, substance dependence, personality disorders, etc.)

Persistent or chronic factors such as social isolation, poverty, extreme chronic stressors (life-threatening or debilitating medical illnesses, victimization, etc.)

**Other** (past psychiatric history; gains in functioning have not solidified or are a result of current

The above noted Functional Criteria ratings are suggested based upon the following information regarding this person's functioning: (Provide a description of both the positive (confirming) findings and negative ("rule-out") findings of the functioning of this person)

**If Applicant does not meet SMI criteria, please check here:**     **And explain:**

\_\_\_\_\_  
Assessors Name (print) / Signature

\_\_\_\_\_  
Credentials/Position

\_\_\_\_\_  
Date

\_\_\_\_\_  
Reviewers Name (print) / Signature

\_\_\_\_\_  
Credentials/Position

\_\_\_\_\_  
Date